F11321-P013D2 (formerly 11321-P026US)

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of:

John L. Margrave et al.

For:

CHEMICAL DERIVATIZATION OF

SINGLE WALL CARBON NANOTUBES

TO FACILITATE SOLVATION

THEREOF, AND USE OF DERIVATIZED

NANOTUBES

Atty Dkt:

11321-P013D2

(formerly 11321-P026US)

§ Filed: M § § Group Art Unit: § §

Serial No:

Examiner: Stuart L Hendrickson

09/809,885

March 16, 2001

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CERTIFICATE OF MAILING

This document is being deposited with the U.S. Postal Service as First Class Mail with sufficient postage, in an envelope addressed to Mail Stop Non-Fee Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450, on June

3, 2003.

GRACIE SOLIS

Mail Stop Non-Fee Amendment Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450

AMENDMENT UNDER 37 C.F.R. § 1.111

Dear Sir:

In response to the Office Action having a mailing date of 23 April 2003 (Paper No. 3), with a three-month shortened statutory period for response set to expire on 23 July 2003, please amend the above-identified Application as follows: